

Fraud Prevention Executive and Board Briefing

Summary

This high-level briefing is directed right at Board members and Executives. We cover what works best to manage fraud risks, including effective steps for prevention, quick detection of incidents that slip through prevention efforts, and efficient, effective incident response and management. This program is always tailored to the unique needs, challenges and session objectives of every client. The most effective length is from 60-minute briefings to three-hour workshops, but the length and content points can be adjusted to match each client’s preferences. In this session, we’ll also cover leadership’s role in how to translate ethics policies and anti-fraud controls into real everyday business behaviors.

Learning Objectives

In this program, Board members and executives will:

- Recognize what they need to do and say to set organizational tone and lead anti-fraud efforts
- Identify why leaders should step in and speak up about what’s expected of every employee
- Explore options for being both visible and vocal - so that everyone sees and hears the message
- Discover what works and what gets in the way of meaningful fraud risk management initiatives

Program Content

Although all programs are tailored based on client input, suggested topics include:

- What Boards and executives need to say and do to support anti-fraud efforts
- How to create and sustain an ethics-based business environment
- Recognizing the similarities and critical differences between ethics and integrity
- How to align the tone at the top, middle and bottom of the organization
- Recognize why managers and employees need real guidance on ethical questions – and how to provide it before it’s needed
- Explore how to make your ethics hotlines come alive and be trusted by all
- Recognize why ethical principles without real accountability is a recipe for failure
- Explore how to blend ethical practices right into existing quality control behaviors – so there’s no extra work involved

Instructional Method, Program Length and Continuing Education Credits

All programs under this topic are tailored to each client’s unique objectives, organization culture and policies. The suggested program length is from 60-minute briefings to three-hour workshops, but actual length will be tailored in consultation with the client. In accordance with the standards of the National Association of CPE Sponsors, Continuing Professional Education credits will be granted based on a 50-minute hour. National Registry of CPE Sponsors ID Number: 108226

Program Level:	Advanced
Prerequisites:	None
Advance Preparation:	None – although a knowledge of business fraud risks is beneficial
Delivery Method:	Group-Live
Field of Study:	Behavioral Ethics
Recommended CPE Credits:	1 to 3 credits, depending on actual length

