

## **Fraud Detection and Incident Response** **for Auditors and Fraud Examiners**

### **Summary**

In this program, we'll parachute right in building detection steps that apply to professional auditors. We'll use all new examples and small group brainstorming as our foundation for learning. Then we'll take a hard look at what to do (and what to avoid) in fraud incident response – all from the perspective of auditors, fraud examiners, and other anti-fraud specialists. Throughout the program, you'll get action lessons from current, real-world examples and experiences. Join us to build your own fraud detection practices and case response checklists.

### **Learning Objectives**

This “how-to” course for fraud risk management professionals will focus on two things only: how to detect fraud and how to effectively handle investigative and related incident response responsibilities. Expect heavy participant brainstorming exercises and interaction based on real world ‘what would you do next’ scenarios.

### **Program Content**

Topics covered include:

- Exactly how to bridge the gap between fraud risk brainstorming and project program steps
- What works and what doesn't for detection of fraud, theft and other wrongdoing
- Three-Step Fraud Detection
- Red flags, symptoms and indicators of fraud
- Detecting cyber, identity/information theft and other technology-based fraud
- Catching and handling cooking the financial books and non-financial results schemes
- What to expect when the alarm sounds – and how to react
- Working effectively with executives, attorneys, human resources and other relevant parties
- How to manage management's expectations (and break down likely barriers)
- Challenges and opportunities from tips, hotlines and unknown informants
- Interacting with law enforcement, other external authorities and insurance companies
- Building an investigative checklist
- Fraud-Based Interviewing: tips and techniques

### **Instructional Method, Program Length and Continuing Education Credits**

This seminar utilizes a combination of lecture, discussion and brainstorming exercises. The program length is one full day, but can be tailored in length and content to meet client objectives. Tailored programs of several hours to two full days are available on request. In accordance with the standards of the National Association of CPE Sponsors, Continuing Professional Education credits have been granted based on a 50-minute hour. National Registry of CPE Sponsors ID Number: 108226

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| <b>Program Level:</b>           | Intermediate to Advanced, based on client content input |
| <b>Prerequisites:</b>           | Experience in fraud issues is helpful but not required  |
| <b>Advance Preparation:</b>     | None  |
| <b>Delivery Method:</b>         | Group-Live  |
| <b>Field of Study:</b>          | Auditing (Forensic Auditing)                            |
| <b>Recommended CPE Credits:</b> | 8 credits   |

